

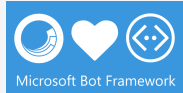
# Improving NLU Training over Linked Data

Tobias Schmitt, Cedric Kulbach, York Sure-Vetter



# Developments in Dialogue Systems

## NLU as a Service<sup>1</sup>



chatfuel

wit.ai



## Universal Chat Platforms<sup>1</sup>



## Machine Learning<sup>1</sup>

### Underlying Algorithms

Hand-crafted, Machine Learning, ...  
Labelled utterances to learn Alg.

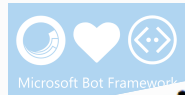
## Research Approaches

**Objective:** Train high performance NLU  
**Approach:** Improve quality of Training Data

<sup>1</sup> Braun, D., Hernandez-Mendez, A., Prof. Dr. Matthes, F., Dr. Langen, M.: Evaluating natural language understanding services for conversational question answering systems (2017)

# Developments in Dialogue Systems

## NLU as a Service<sup>1</sup>



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**Twitter taught Microsoft's AI chatbot to be a racist asshole in less than a day**

By James Vincent | @jjvincent | Mar 24, 2016, 6:43am EDT

## Research Approaches

**Objective:** Train high performance NLU  
**Approach:** Improve quality of Training Data

## Machine Learning<sup>1</sup>

### Underlying Algorithms

Hand-crafted, Machine Learning, ...  
Labelled utterances to learn Alg.

**Facebook and YouTube racist chatbot**



CNBC

Published: 11:15am, 19 Mar, 2018

**AI Needs Content Strategy More Than Ever**

Matthew Grocki  
Aug 13, 2019

Facebook and YouTube have recently come under fire for offensive search suggestions

Facebook and YouTube should have learned from Microsoft's

# Research Questions

**RQ 1**

**Which type of entity values work best for training an entity recognition algorithm?**

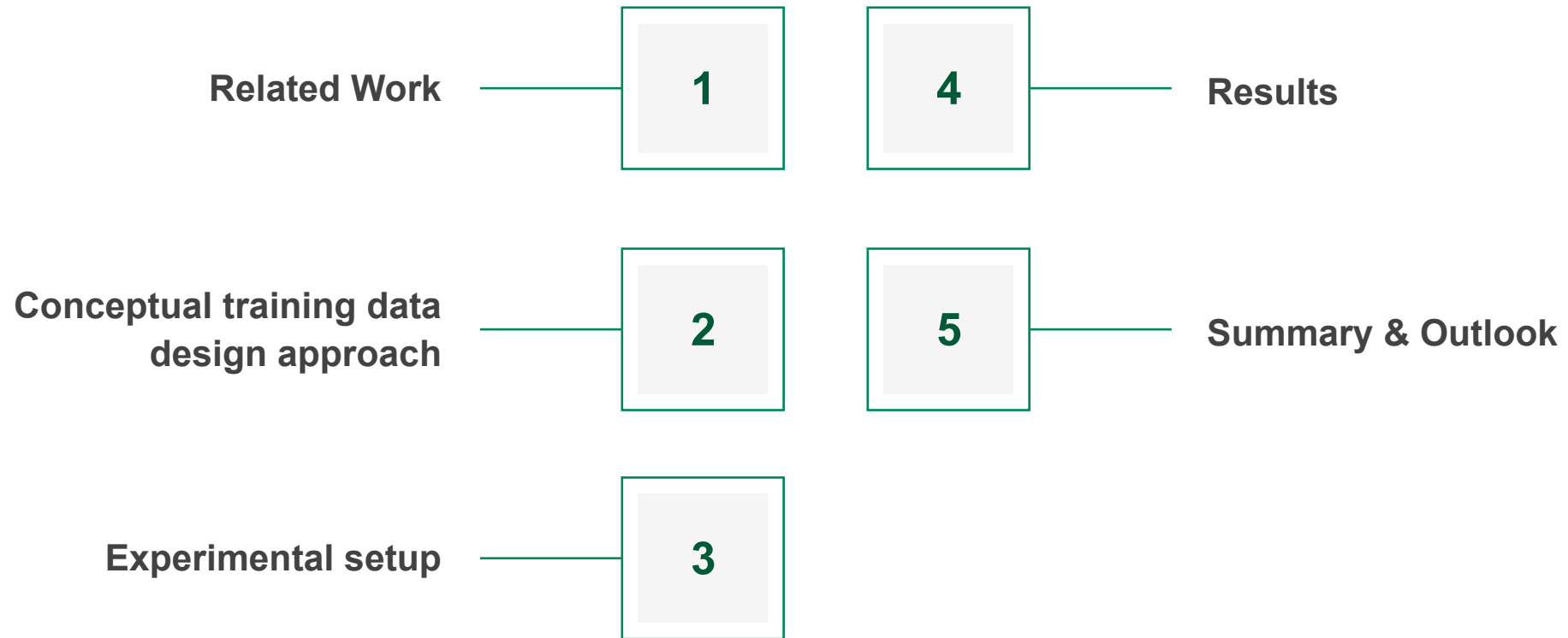
**RQ 2**

**Which type of entity values work best for training different intent classifiers?**

**RQ 3**

**How can linked data improve NLU performances?**

# Agenda



# Related Work

## Semantic Question Answering over Linked Data

- DBpedia Bot (static, rule based) [Athreya et. Al.,2018]
- Frankenstein (linkage of static approaches, with static knowledge) [Singh et. Al,2018]

## Overall Goal:

Bringing technology of dialogues systems towards semantic question answering systems or Integrating Semantic Question Answering Systems into an Dialogue System environment.

## Dialogue Systems

- Intent classification and Named Entity Recognition
- Crowdsourced generation of training data for chatbots [Bapat et. Al.,2018]



# Intent and Entities of incoming messages

## Component-based Dialogue System

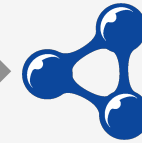
“Where is the lecture **Web Science** taking place?”



**Natural Language Understanding**  
Intent: location\_of\_lecture  
Entity\_Type: lecture  
Entity\_Value: “Web Science”



**Dialogue Manager**  
Slots:  
- lecture: **Web Science**  
- semester: empty  
- ...  
List of Actions:  
- **FindLocation**  
- FindLecturer



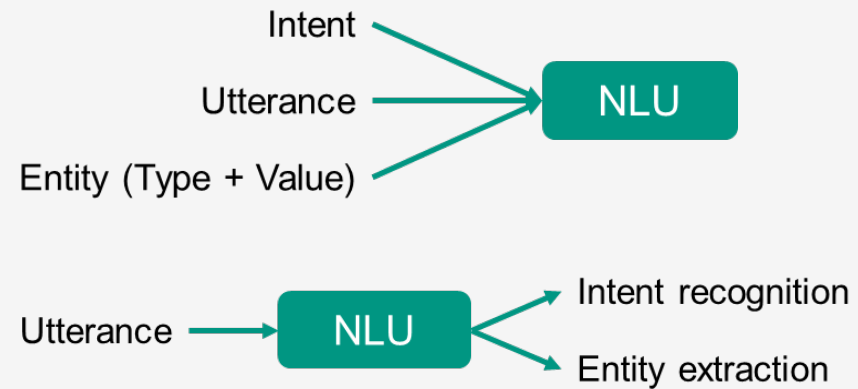
“The lecture **Web Science** takes place in the **audimax**.”



**Natural Language Generation**  
“The lecture <lecture> takes place in the <location>”



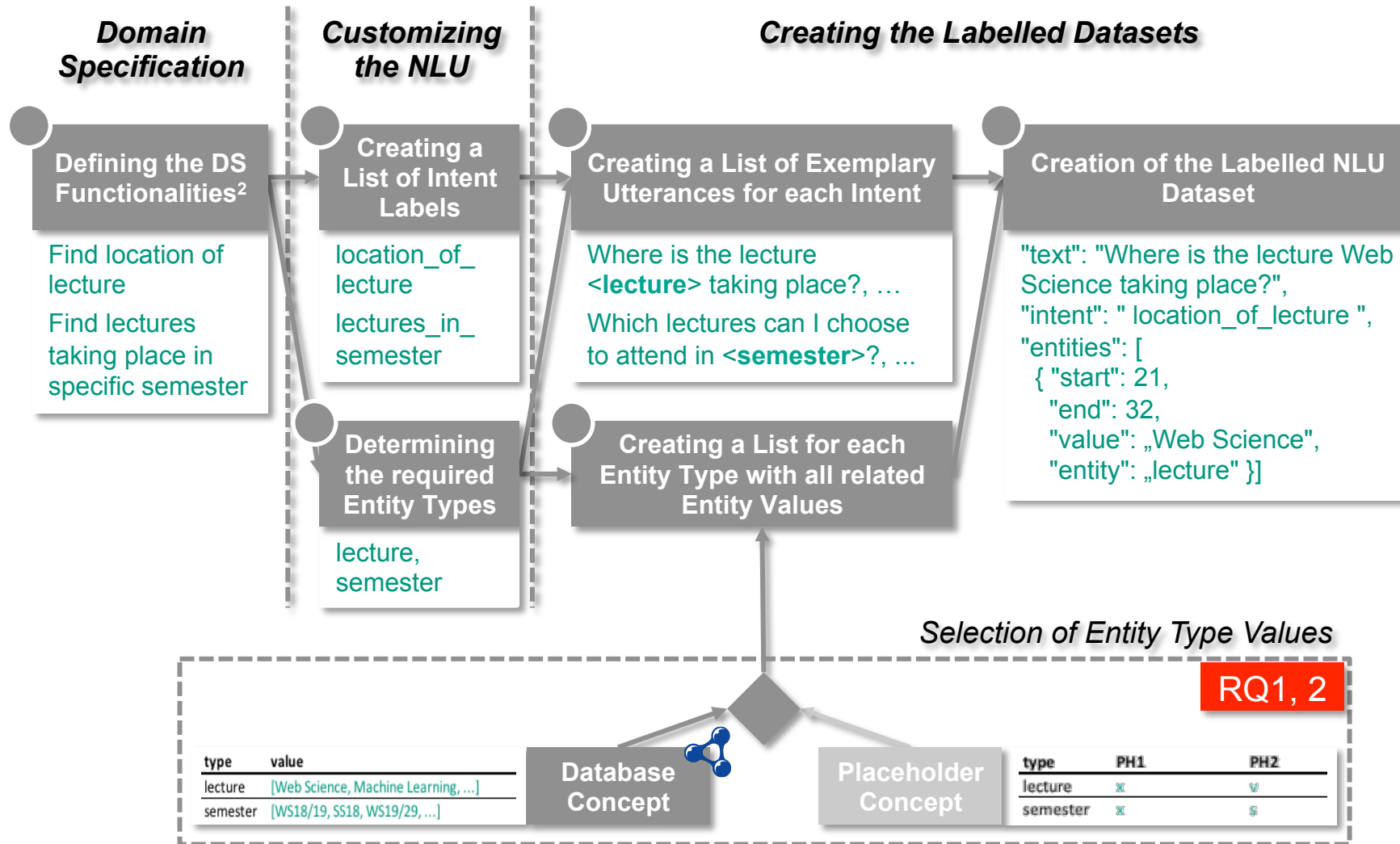
## NLU Training Process



## Format of the Dataset (JSON)

```
"text": "Where is the lecture Web Science taking place?",  
"intent": "location_of_lecture",  
"entities": [  
  {  
    "start": 21,  
    "end": 32,  
    "value": "Web Science",  
    "entity": "lecture"  
  }  
]
```

# Concepts NLU training dataset creation



<sup>2</sup> Grötz, 2018



# Concepts NLU training dataset creation



<b>Concept</b>	
Entity value generation	
List of entity values	
Utterance	<beginning of an utterance> <entity type x> <...> <entity type y> <end of utterance>
Example	Where is the lecture <lecture> taking place?

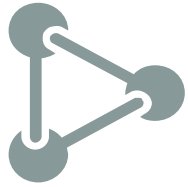
# Concepts NLU training dataset creation

Concept	Database Concept								
Entity value generation	Database queries								
List of entity values	<table border="1"> <thead> <tr> <th>Entity type</th> <th>Entity values</th> </tr> </thead> <tbody> <tr> <td>Type 1</td> <td>[List of values]</td> </tr> <tr> <td>Type 2</td> <td>[List of values]</td> </tr> <tr> <td>...</td> <td>...</td> </tr> </tbody> </table>	Entity type	Entity values	Type 1	[List of values]	Type 2	[List of values]	...	...
Entity type	Entity values								
Type 1	[List of values]								
Type 2	[List of values]								
...	...								
Utterance	<beginning of an utterance> <entity type x> <...> <entity type y> <end of utterance>								
Example	Entity Type: lecture Entity Values: [Web Science, ...]								
	Where is the lecture <lecture> taking place?								

# Concepts NLU training dataset creation

Concept	Database Concept	Placeholder Value Concepts																							
		Identical Pl. Values (PH Type 1)	Different Pl. Value (PH Type 2)																						
Entity value generation	Database queries	One random character sequence for all entity types	Random character sequence for each entity type																						
List of entity values	<table border="1"> <thead> <tr> <th>Entity type</th> <th>Entity values</th> </tr> </thead> <tbody> <tr> <td>Type 1</td> <td>[List of values]</td> </tr> <tr> <td>Type 2</td> <td>[List of values]</td> </tr> <tr> <td>...</td> <td>...</td> </tr> </tbody> </table>	Entity type	Entity values	Type 1	[List of values]	Type 2	[List of values]	...	...	<table border="1"> <thead> <tr> <th>Entity type</th> <th>Entity values</th> </tr> </thead> <tbody> <tr> <td>Type 1</td> <td rowspan="3">Random Value</td> </tr> <tr> <td>Type 2</td> </tr> <tr> <td>...</td> </tr> </tbody> </table>	Entity type	Entity values	Type 1	Random Value	Type 2	...	<table border="1"> <thead> <tr> <th>Entity type</th> <th>Entity values</th> </tr> </thead> <tbody> <tr> <td>Type 1</td> <td>Random Value 1</td> </tr> <tr> <td>Type 2</td> <td>Random Value 2</td> </tr> <tr> <td>...</td> <td>...</td> </tr> </tbody> </table>	Entity type	Entity values	Type 1	Random Value 1	Type 2	Random Value 2	...	...
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Example	Entity Type: lecture Entity Values: [Web Science, ...]	Entity Type: lecture Entity Value: x	Entity Type: lecture, ... Entity Value: v																						
Where is the lecture <lecture> taking place?																									

# Experimental setup



NLU dataset  
creation



- Domain:
  - Study program for KIT students
- Intents:
  - lecturer\_of\_lecture,  
semester\_of\_lecture,  
location\_of\_room, ...
- Entities:
  - lecture, lecturer, semester,  
building, ...
- 299 different utterances
  - 80%- 20% train, test split
- 5 experiments:
  - EX 1: Train domain entities
  - EX 2: All domain entities
  - EX 3: PH type 1
  - EX 4: PH type 2
  - EX 5: Train domain entities +  
DBpedia Entity values
- Test dataset:
  - Once with Database Entities
  - Once with DBpedia Entities
- RASA<sup>3</sup> as NLU component
  - Intent Classifier
    - Embedding Intent Classifier
  - NER:
    - Conditional Random Fields
  - Standard configurations

<sup>3</sup><https://rasa.com/docs/rasa/nlu/about/>

# Experiments

	Entities for training	Entities for testing	
EX 1	80% Database	20% Database (Domain Test)	DBpedia Entities (Dbpedia Test)
EX 2	100% Database		
EX 3	Placeholder Type 1		
EX 4	Placeholder Type 2		
EX 5	80% Database + DBpedia		

# Results on Domain Test



	Named Entity Recognition			Embedding Classifier		
	Precision	Recall	F1-Score	Precision	Recall	F1 Score
	Domain Test					
EX 1	0.995	0.895	0.940	0.863	0.846	0.845
EX 2	1.000	0.967	0.979	0.850	0.815	0.810

- Increased F1 score in NER task when more entities are used for training
- Decreased F1 score in intent classification task when more unique entities are used for training

# Results on Domain Test

	Named Entity Recognition			Embedding Classifier		
	Precision	Recall	F1-Score	Precision	Recall	F1 Score
	Domain Test					
EX 1	0.995	0.895	0.940	0.863	0.846	0.845
EX 2	1.000	0.967	0.979	0.850	0.815	0.810
EX 3	0.893	0.286	0.428	0.866	0.831	0.829
EX 4	0.928	0.220	0.351	0.831	0.815	0.803

- Placeholder concepts do not improve NER or intent classification performance on domain tests

# Results on Domain Test



	Named Entity Recognition			Embedding Classifier		
	Precision	Recall	F1-Score	Precision	Recall	F1 Score
	Domain Test					
EX 1	0.995	0.895	0.940	0.863	0.846	0.845
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EX 3	0.893	0.286	0.428	0.866	0.831	0.829
EX 4	0.928	0.220	0.351	0.831	0.815	0.803
EX 5	0.991	0.856	0.918	0.866	0.831	0.827

- Training with domain and DBpedia entities leads to slightly weaker performance results.



# Results on DBpedia Test

	Named Entity Recognition			Embedding Classifier		
	Precision	Recall	F1-Score	Precision	Recall	F1 Score
Domain Test						
EX 1	0.995	0.895	0.940	0.863	0.846	0.845
EX 2	1.000	0.967	0.979	0.850	0.815	0.810
EX 3	0.893	0.286	0.428	0.866	0.831	0.829
EX 4	0.928	0.220	0.351	0.831	0.815	0.803
EX 5	0.991	0.856	0.918	0.866	0.831	0.827
DBpedia Test						
EX 1	0.878	0.770	0.812	0.845	0.815	0.810
EX 2	1.000	0.806	0.851	0.852	0.815	0.817
EX 3	0.835	0.312	0.451	0.891	0.877	0.872
EX 4	0.848	0.220	0.351	0.832	0.815	0.802

- Placeholder concepts lead to poor results in NER task but increases the intent classification performance.

# Results on DBpedia Test

	Named Entity Recognition			Embedding Classifier		
	Precision	Recall	F1-Score	Precision	Recall	F1 Score
Domain Test						
EX 1	0.995	0.895	0.940	0.863	0.846	0.845
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EX 4	0.848	0.220	0.351	0.832	0.815	0.802
EX 5	0.992	0.950	0.968	0.848	0.831	0.819

- Using DBpedia entity values increases the NER task performance when evaluating on a different domain.

# Summary



**RQ 1**  
**Which type of entity values work best for training an entity recognition algorithm?**

Depending on the field of application of the DS and the database size:  
**Closed Domain:** Leave Placeholders and Linked Data out of Training  
**Open Domain:** Integrate Entity Values from other Domains

**RQ 2**  
**Which type of entity values work best for training different intent classifiers?**

Depending on the field of application of the DS and the database:  
**Closed Domain:** Few utterances are sufficient  
**Open Domain:** Integrate generated Entity Values (Type 1)

**RQ 3**  
**How can linked data improve NLU performances?**

Training with Entity values from different Domains can improve NER of a NLU.

# Outlook



## Scalability

Evaluated only on a small, domain-specific dataset. The question of the generalizability of the approach to larger datasets arises.

## Robustness

We defined the robustness of a NLU through the performance metrics on not yet seen entity values.

## Integration

Integration of placeholder holder concepts or domain knowledge into an automated dataset creation process.

# References



- [Braun et. Al.,2017] - Braun, D., Hernandez-Mendez, A., Prof. Dr. Matthes, F., Dr. Langen, M.: Evaluating natural language understanding services for conversational question answering systems (2017)
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# Thank you

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